

# UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/645,349	08/21/2003	Pieter van Rooyen	1772/16131US02	6747
7590 08/23/2005			EXAMINER	
Christopher C. Winslade McAndrews, Held & Malloy 500W. Madison Street Suite 3400			MULL, FRED H	
			ART UNIT	PAPER NUMBER
			3662	
Chicago, IL 6	0661		DATE MAILED: 08/23/2005	

Please find below and/or attached an Office communication concerning this application or proceeding.

Y	J					
	Application No.	Applicant(s)				
	10/645,349	ROOYEN ET AL.				
Office Action Summary	Examiner	Art Unit				
	Fred H. Mull	3662				
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address				
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply If NO period for reply is specified above, the maximum statutory period vortices are reply within the set or extended period for reply will, by statute.  Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be tim y within the statutory minimum of thirty (30) days vill apply and will expire SIX (6) MONTHS from , cause the application to become ABANDONE	nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133).				
Status						
1)⊠ Responsive to communication(s) filed on <u>15 Ja</u>	anuary 2004.					
3) Since this application is in condition for allowar	, <del></del>					
Disposition of Claims						
<ul> <li>4) ☐ Claim(s) 1-33 is/are pending in the application.</li> <li>4a) Of the above claim(s) is/are withdraw</li> <li>5) ☐ Claim(s) is/are allowed.</li> <li>6) ☐ Claim(s) 1-33 is/are rejected.</li> <li>7) ☐ Claim(s) is/are objected to.</li> <li>8) ☐ Claim(s) are subject to restriction and/or</li> </ul>	vn from consideration.					
Application Papers						
9)⊠ The specification is objected to by the Examine 10)⊠ The drawing(s) filed on 22 August 2003 is/are:  Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11)□ The oath or declaration is objected to by the Ex	a) $\square$ accepted or b) $\boxtimes$ objected the drawing(s) be held in abeyance. See ion is required if the drawing(s) is objection.	e 37 CFR 1.85(a). jected to. See 37 CFR 1.121(d).				
Priority under 35 U.S.C. § 119						
<ul> <li>12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents</li> <li>2. Certified copies of the priority documents</li> <li>3. Copies of the certified copies of the priority application from the International Bureau</li> <li>* See the attached detailed Office action for a list</li> </ul>	s have been received. s have been received in Application ity documents have been received (PCT Rule 17.2(a)).	on No ed in this National Stage				
Attachment(s)						
1) X Notice of References Cited (PTO-892)	4) Interview Summary	(PTO-413)				
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Da	ate				
3) Antiformation Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 04/14/2004.	5) Notice of Informal Page 6) Other:	atent Application (PTO-152)				

HU

Application/Control Number: 10/645,349 Page 2

Art Unit: 3662

#### **DETAILED ACTION**

## **Drawings**

1. The drawings are objected to as indicated in the Notice of Draftperson's Patent Drawing Review.

Corrected drawings in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any replacement sheet(s) should be labeled "Replacement Sheet" in the page header (as per 37 CFR 1.84(c)) so as not to obstruct any portion of the drawing figures. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

### Specification

2. The disclosure is objected to because of the following informalities:

Application/Control Number: 10/645,349

Art Unit: 3662

The description of Fig. 8B on p. 5, line 19 is incomplete.

Appropriate correction is required. No new matter should be added.

# Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 3 6. Claims 29-33 are rejected under 35 U.S.C. 102(b) as being anticipated by IDS document Petrus.

Petrus discloses M signal processing chains wherein each of the M signal processing chains is configured to receive a replica of a received signal from a corresponding one of M physical antenna elements (203, Fig. 2; col. 7, lines 31-37); and an interpolation module coupled to the M signal processing chains, wherein the interpolation module is configured to generate N signal response values for the antenna array as a function of the M replicas of the received signal (col. 7, line 49 to col. 8, line 28).

以 1. Claims 1-33 are rejected under 35 U.S.C. 102(b) as being anticipated by Rouphael.

In regard to claims 1, 4-9, 12, 14, 16-18, 20, and 23-27, Rouphael discloses:

Application/Control Number: 10/645,349

Art Unit: 3662

receiving M replicas of the signal, each of the M replicas being received by one of a corresponding M physical antenna elements of the antenna array;

determining M responses of the M physical antenna elements to the signal, each of the M responses corresponding to one of the M physical antenna elements; and

generating, as a function of the responses of the M physical antenna elements to the signal, N responses to the signal, respectively associated with N spatial locations along the antenna array, wherein at least one of the N spatial locations is not coincident with a location of any of the M physical antenna elements (p. 531, section I, 2<sup>nd</sup> ¶; p. 533, final ¶).

In regard to claims 2, 15, and 21 Rouphael further discloses N-M responses of the N responses are associated with virtual antenna elements located among the physical antenna elements (p. 531, section I, 2<sup>nd</sup> ¶; p. 533, final ¶).

In regard to claims 3, 12-13, 22, and 29-33, Rouphael further discloses at least one of the N-M responses is generated by interpolating at least two of the M responses (p. 531, section II).

In regard to claims 9, 19, and 28, Rouphael further discloses the signal complies with a communication protocol selected from the group consisting of: orthogonal frequency division multiplexing (OFDM), time division multiple access (TDMA), code division multiple access (CDMA), gaussian minimum shift keying (GMSK), complementary code keying (CCK), quadrature phase shift keying (QPSK), frequency shift keying (FSK), phase shift keying (PSK), and quadrature amplitude modulation (QAM) (p. 531, section I, 1<sup>st</sup> ¶).

Application/Control Number: 10/645,349 Page 5

Art Unit: 3662

receiving M replicas of the signal, each of the M replicas being received by one of a corresponding M physical antenna elements of the antenna array;

determining M responses of the M physical antenna elements to the signal, each of the M responses corresponding to one of the M physical antenna elements; and

generating, as a function of the responses of the M physical antenna elements to the signal, N responses to the signal, respectively associated with N spatial locations along the antenna array, wherein at least one of the N spatial locations is not coincident with a location of any of the M physical antenna elements (p. 342, final ¶).

Claims 1-33 are rejected under 35 U.S.C. 102(b) as being anticipated by Kivinen.
Kivinen discloses:

receiving M replicas of the signal, each of the M replicas being received by one of a corresponding M physical antenna elements of the antenna array;

determining M responses of the M physical antenna elements to the signal, each of the M responses corresponding to one of the M physical antenna elements; and

generating, as a function of the responses of the M physical antenna elements to the signal, N responses to the signal, respectively associated with N spatial locations along the antenna array, wherein at least one of the N spatial locations is not coincident with a location of any of the M physical antenna elements (p. 183, section 1, 1st ¶).

Application/Control Number: 10/645,349 Page 6

Art Unit: 3662

75. The examiner also finds the following reference(s) relevant, but not prior art:

Judd.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Fred H. Mull whose telephone number is 571-272-6975.

The examiner can normally be reached on M-F 9:00 - 5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thomas H. Tarcza can be reached on 571-272-6979. The fax phone number for the organization where this application or proceeding is assigned is 571-

273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Fred H. Mull Examiner Art Unit 3662

fhm

THUMAS H. IAHUZA
SUPERVISORY PATENT EXAMINER

**TECHNOLOGY CENTER 3600**